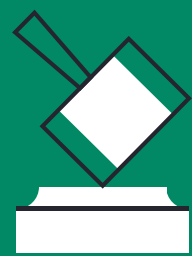


SBP INSPECTION REGULATORY COMPLIANCE IN BRANCH BANKING OPERATIONS



Supervision of a bank is the most important & essential part of Regulators and banks are required to have smooth operating processes for sound banking system. In case of any violation of the regulatory requirements, the banks / financial Institutions incur penalties by the Regulator. Regulation and supervision of the banking business are critical for an effective and smooth functioning of banking Industry, likewise, banks are liable to comply with such regulatory requirements. In order to identify various areas of penalties that regulators usually impose upon, banks are required to understand and adhere to regulatory requirements.

This training will impart knowledge about the inspection process followed by State Bank of Pakistan which aims to add value and improve a bank's operations through implementation of strong internal controls and to mitigate business/operational risks.



COURSE CONTENTS

- Importance and benefits of SBP Regulatory Framework
- SBP Supervisory Framework.
- Examine various natures of SBP penalties imposed during inspection
- SBP inspection process; Broad areas covered during the inspection
- Brief on various Regulations, Acts/Laws Regarding banking operations
- Most common penalties that banks encounter- PRs AML, CDD, EDD
- Measures to adopt to avoid penalties
- Understand compliance program and its effective utilization in Banks
- The AML and CFT framework and international regulatory concerns.

LEARNING OBJECTIVES

The program is aimed to enable participants to understand and determine:

- Understand the difference between Regulatory Inspection, Internal Controls, Internal Audit and External Audit.
- Know the penal actions taken by SBP for non-compliance of regulatory instructions

- Understand the SBP Inspection Process.
- Discuss the areas Reviewed by Inspection Team in branches.
- Various Regulations & Laws regarding banking operations

FACILITATOR

Mr. Muhammad Salman is a seasoned banker having more than 14 years of banking experience, having vast exposure in Branch Operations, Retail Banking, Advances & Foreign Trade & served on various strategic positions in branch as well as in controlling office over the years. Presently, he is serving as Assistant Manager Branch Operations at NBP, Regional Office, Hyderabad. Apart from NBP, he has also served in Bank AL Habib Limited as Advances/ Forex Manager. His major academic qualification includes MBA with specialization in Finance from University of Sindh, while his professional qualification carries AIBP & JAIBP diploma certifications from Institute of Bankers Pakistan-IBP. He has also been associated with NBP Staff College, Karachi as a regular visiting faculty members for last 05 Years where he conducts training / courses for existing employees as well as fresh inductees.

FEBRUARY 27, 2024

9:00 AM - 5:00 PM

MIRPUR KHAAS

FEE:
PKR
15,000
(Plus Tax)