



SBP INSPECTION REGULATORY COMPLIANCE IN BRANCH BANKING OPERATIONS

22 FEBRUARY 2024

9:30 AM - 5:00 PM

F2F, NIBAF,
Islamabad

FEE:
Rs. 15,000
(Plus tax)



COURSE OVERVIEW

Supervision of a bank is the most important & essential part of Regulators and banks are required to have smooth operating processes for sound banking system. In case of any violation of the regulatory requirements, the banks / financial Institutions incur penalties by the Regulator. Regulation and supervision of the banking business are critical for an effective and smooth functioning of banking Industry, likewise, banks are liable to comply with such regulatory requirements. In order to identify various areas of penalties that regulators usually impose upon, banks are required to understand and adhere to regulatory requirements.

This training will impart knowledge about the inspection process followed by State Bank of Pakistan which aims to add value and improve a bank's operations through implementation of strong internal controls and to mitigate business/ operational risks.

COURSE CONTENTS

- ◆ Importance and benefits of SBP Regulatory Framework
- ◆ SBP Supervisory Framework.
- ◆ Examine various natures of SBP penalties imposed during inspection
- ◆ SBP inspection process; Broad areas covered during the inspection
- ◆ Brief on various Regulations, Acts/Laws Regarding banking operations
- ◆ Most common penalties that banks encounter- PRs AML, CDD, EDD
- ◆ Measures to adopt to avoid penalties
- ◆ Understand compliance program and its effective utilization in Banks
- ◆ The AML and CFT framework and international regulatory concerns.

LEARNING OBJECTIVES

The program is aimed to enable participants to understand and determine:

- ◆ Understand the difference between Regulatory Inspection, Internal Controls, Internal Audit and External Audit.
- ◆ Know the penal actions taken by SBP for non-compliance of regulatory instructions
- ◆ Understand the SBP Inspection Process.
- ◆ Discuss the areas Reviewed by Inspection Team in branches.
- ◆ Various Regulations & Laws regarding banking operations

TARGET AUDIENCE

- ◆ Branch Managers
- ◆ Operation Managers
- ◆ Compliance Professionals
- ◆ Audit Department
- ◆ Tellers' Manager / Supervisors
- ◆ Bank staff engaged in AML/KYC/CDD functions

FACILITATOR PROFILE

Mr. Muhammad Junaid Younus Ghori

M.Fin-Australia, FCCA-UK, DAIBP, ACSI-UK, CPIF-Malaysia

A Banking and Finance professional par excellence imbued with latest knowledge in Banking, Finance and Business World, having 20 years of diversified experience in Corporate and Commercial Banking, Banking Operations, Consumer & SME financing, and International Trade Finance. Distinctive Expert level knowledge and hands on experience in Islamic banking, structured novel Islamic financing & syndicated transactions and streamlined operational processes.



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